IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Serial No: Herman LAI & Burn HUANG

Docket No

12643 B

Serial N

For:

4

Art Unit: 'Examiner:

HEAT EXCHANGING DEVICE HAVING HEAT EXCHANGING HOUSING

Transmitted herewith is/are the following:

- x New Patent Application w/Declaration/Power of Attorney
- x Verified Statement for small entity status
- x Six (6) sheets of drawings (Fig.1-11)
- x Acknowledgment Postcard

The filing fee has been calculated below:

| For: | CALCULATION | BASIC FEES | |
|--------------------|------------------------------------|---------------|---------------|
| | | SMALL ENTITY□ | LARGE ENTITY□ |
| DESIGN | | | |
| UTILITY ** | | \$370.00 | \$740.00 |
| TOTAL CLAIMS | <u>14</u> - <u>20</u> = <u>0</u> x | \$9 =0 | |
| INDEPENDENT CLAIMS | <u>1 - 3 = 0 x</u> | \$42 =0 | \$84 = |
| MULTIPLE | YES□ NO□ | \$140= | \$280= |
| TOTALS , | | \$ 370.00 | |

- x A check in the amount of \$370.00 is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 02-1435. A duplicate of this sheet is enclosed.
 - x Any additional filing fees required under 37 CFR 1.16
 - \underline{x} Any patent application processing fees under 37 CFR 1.17
- x The Commissioner is authorized to charge payment of the following fees during pendency of this Application or credit any overpayment to Deposit Account No. 02-1435. This form is submitted in duplicate.
 - x Any patent application processing fees under 37 CFR 1.17
 - _ Any issue fee set in 37 CFR 1.18 at or before a Notice of Allowance is issued.
 - x Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: BOX PATENT Application FEE, Commissioner of Patents & Trademarks, Washington, DC 20231 on October 29, 2001.

Respectfully,

CHARLES E. BAXLEY, ESQUIRE Hart, Baxley, Daniels & Holton 59 John St, 5 Fl, New York, NY 10038 Tel (212) 791-7200 - Fax (212) 791-7276

CHARLES E. BAXLEY Attorney of Record USPTO Reg. 20,149

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